TO: RECEIVED270 PAGE: 001 OF 006

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PTO/SB/21 (09-04)

Approved for use through 07/31/2008, OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number** 09/849810 Filing Date TRANSMITTAL May 4, 2001 First Named Inventor **FORM** <u>Santiago, et al.</u> Art Unit 2661 **Examiner Name** unknown (to be used for all correspondence after initial filing) **Attorney Docket Number** 1305.10**-US-**01 6 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication to TC Fee Transmittal Form Drawing(s) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC Petition (Appeal Notice, Brief, Reply Brief) Amendment/Reply Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Change of Correspondence Address Affidavits/declaration(s) Other Enclosure(s) (please Identify Terminal Disclaimer below): Extension of Time Request Request for Refund Express Abandonment Request CD, Number of CD(s)_ Information Disclosure Statement Landscape Table on CD Certified Copy of Priority Remarks Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name Altera Law Group. Signature Printed name David H. Carroll Reg. No. Date January 5, 2006 29,903 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: Signature

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form end/or suggestions for reducing this burden, should be sent to the Chief information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandría, VA 22313-1450.

Date

Jan. 5, 2006

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Elizabeth Doherty

Typed or printed name

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Serial No. 09/849810

JAN 0 5 2006

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Santiago, et al.

Examiner:

Unknown

Serial No.: 09/849810

Group Art Unit:

2661

Filed:

May 4, 2001

Docket No.:

01305.0010-US-01

Title:

SYSTEM AND METHOD FOR HIERARCHICAL POLICING OF FLOWS

AND SUBFLOWS OF A DATA STREAM

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING FACSIMILE TRANSMITTED TO THE U.S. PATENT AND TRADEMARK OFFICE, FAX NO. (571) 273-8300 ON JAN. 5, 2008.

Elizabeth DOHERTY Name

SECOND REFUND REQUEST UNDER 37 C.F.R.§1.26

Mail Stop 16 Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

On May 10, 2005, a refund request was filed by our office relating to an item incorrectly debited from our PTO deposit account. As of today's date, we have not received a response to our request (other than the return postcard showing receipt at the PTO) or a refund to our deposit account. We herewith refile our request as follows:

On May 5, 2005, our deposit account 50-1038 was charged \$1,300 for "independent claims in excess of 3". The patent application involved is for 09/849810. A review of public PAIR clearly shows that current counsel of record, PATTERSON, THUENTE, SKAAR & CHRISTENSEN, P.A., filed a response to an office action that was received April 25, 2005. In that action applicant added claims at the cost of \$1,300. What isn't found on PAIR is an authorization that this fee be taken from Patterson's deposit account. Lack of an authorization may explain the error by the PTO in taking the money from our account. As the attached copy of the fee worksheet found on PAIR shows, the PTO updated their earlier worksheet used when the application was first filed (by our office) for the charge that should have been billed to Patterson in 2005. Please note that our withdrawal of power of attorney for this application was accepted by the Patent Office in February 2003. A copy of their Decision on Request to Withdraw as Attorney is also attached.

Serial No. 09/849810 Page 2 of 2 **PATENT**

Obviously, since we are no longer prosecuting this patent application, the deposit account activity debiting our account for the \$1,300 fee was made in error. We therefore respectively request that Deposit Account 50-1038, for Altera Law Group, be credited this amount.

Respectfully submitted,

Altera Law Group, LLC Customer No. 22865

Date: <u>01/05/06</u> By:

David H. Carroll Reg. No. 29,903 DHC/emd



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, DC 20231

Paper No. 8

ALTERA LAW GROUP, LLC 6500 CITY WEST PARKWAY SUITE 100 MINNEAPOLIS MN 55344-7704

MAILED FEB 1 9 2003

Technology Center 2600

In re Application of
Rodolfo Santiago et al
Application No. 09/849,810
Filed: May 4, 2001
For: SYSTEM AND METHOD FOR
HIERARCHICAL POLICING OF FLOWS
AND SUBFLOWS OF A DATA STREAM

DECISION ON REQUEST TO WITHDRAW AS ATTORNEY

This is a decision on the request to withdraw as attorney/agent of record filed on December 10, 2002.

A grantable request to withdraw as attorney/agent of record must:

(1) indicate the present mailing address of the attorney(s)/agent(s) who seek(s) to withdraw, and (2) be signed by each attorney/agent seeking to withdraw or clearly be signed on their behalf, and (3) be approved at least thirty (30) days prior to the maximum extendable period for response to any outstanding Office Action, and

(4) indicate the address to which future correspondence should be mailed.

Petitioner has met all of the above. Accordingly, the request is GRANTED.

All attorneys and agents of record in this application are removed from record.

All future communications from the Office will be directed to the address listed below until otherwise notified by applicant. Applicant is reminded of the obligation to promptly notify the Patent and Trademark Office of any change in correspondence address to ensure receipt of all communications from the Office.

Krista Zele

Special Program Examiner Technology Center 2600

Communications (703) 305-4701

CC:

Rodolfo Santiago 2121 Glenhurst Road St. Louis Park, MN: 55416 13%

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S/N TO BE ASSIGNED

PATENI

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant

Santiago et at.

Serial No.:

TO BE ASSIGNED

Flect

May 4, 2001

Docket No.:

1305.10US01

T曲e:

SYSTEM AND METHOD FOR HIERARCHICAL POLICING OF FLOWS AND SUBFLOWS OF A

DATA STREAM

CERTIFICATE UNDER 37 CFR 1.10

Express Mail mailing label number: EL733008235US

Date of Deposit May 4, 2001

I hereby certify that this correspondence is being deposited with the United States Postal Service Express Mail Post Office To Addressee' service under 37 CFR 1.107on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington 1.5. 20231.

Steven RE Funk

BOX PATENT APPLICATION Assistant Commissioner for Patents Washington, D.C. 20231

Patent application including 33 pages of specification, 11 pages of claims, 13 sheats of

drawings and 1 page of abstract Unsigned Declaration and Power of Attorney

XXXX Transmittal Sheet

Return postcard

Authorized to charge \$715,62 to Deposit Account Number 50-1038 for Filing Fee

Applicant claims small entity status:

Assignee Information for Publication Purposes Assignee Name: Terago Communications, Inc.

Assignee Residence: Maple Grove, Minnesota 1300'00ET

01 EC:5501

01864860 02/02/5002 KAERLUT 00000005 201038 CLAIMS FILED **Total Claims** in Excess Extra Claims Present Fees of Basic Filing Fee \$ 355,00 Total Claims 20 X \$9.00 \$360,00 indep. Claims \$200.00 8 3 X \$40.00 Multiply Dependent Claims \$ 0.00

Authorization is heraby given to charge any additional fees or credit any overpayments that may be deemed necessary to Deposit Account Number 50-1038.

Respectfully submitted,

Aftera Law Group, LLC

6500 City West Parkway, Suite 1807

TOTAL PEES \$ 9/5,00

Minneapolis, MN 55344

(952) 912-0528

Date: May 4, 2001

Steven R. Funk (962) 912-0541/

Reg. No. 37,830 SRFALHT

Charged after request granted to withdraw as attorney.